



data governance

FINANCIAL SERVICES CONFERENCE

September 23-24, 2013 | New York City, NY | www.dgfinancialconference.com



The Data Governance Financial Services Conference

has become the yearly educational and networking event for financial services professionals working in data governance.



Keynotes

Guiding Principles for Sustainable Data Governance



Michael Atkin, EDM Council

This session will put the concept of data governance into context, set out the guiding principles for sustainable data governance in financial services and define the key roles and responsibilities associated with data management in federated environments. We will look at the key to defining and implementing effective data policies and focus on the requirements for managing a standards-based infrastructure needed to ensure data quality.

Implementing Legal Entity Identifiers in Financial Institutions



Arka Mukherjee, Global IDs

Many financial institutions are initiating projects to cross-reference client and counter-party data with LEIs. This presentation describes a non-invasive approach to discovering client and counter-party data inside complex data landscapes, and systematically enriching the data with Global LEIs.

Building Confidence in Big Data with Information Integration and Governance



David Corrigan, IBM Software Group

In the era of big data, information integration and governance is more important than ever before because it is becoming harder to establish veracity. Come to this session to hear what industry leaders are doing to ensure successful big data and analytics projects by including an appropriate amount of integration and governance technology.

KEYNOTE PANEL: TIPS FROM THE TRENCHES

Effective Methods to Address Data Governance Challenges in the Financial Sector



MODERATOR: Malcolm Chisholm, AskGet Inc.

This keynote panel will address the unique and demanding challenges that the financial sector faces with implementing data governance. Topics include best practices in keeping up with regulations Legal Entity Identifiers (LEIs) & Financial Industry Business Ontologies (FIBO), the role of Data Steward vs. Subject Matter Expert (SME) and scope and scale of the Data Governance Organization.

The ONLY Conference Dedicated ENTIRELY to Data Governance in the Financial Sector

Learn How to be Successful with Data Governance in Financial Services

- Practical approaches
- Data governance best practices in the financial sector
- Effective methods for compliance
- Handling regulatory reporting and oversight
- Data stewardship in the financial sector
- Implementing Basel Data Governance
- Financial industry data standards
- How to develop data quality and data governance metrics
- Managing core reference data in finance
- Legal entity identifiers
- Big data governance
- Real world case studies

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Conference Agenda at a Glance

Registration Information

Monday, September 23, 2013

9:00 - 11:45 A.M. Tutorials	DATA GOVERNANCE APPROACHES TO REGULATORY REPORTING AND OVERSIGHT <i>Steve Zagoudis, CEO</i> MetaGovernance Inc.	HOW TO DEVELOP DATA QUALITY AND DATA GOVERNANCE METRICS <i>Michele Koch, Director,</i> <i>Enterprise Data Management</i> Sallie Mae
11:45 A.M. - 12:15 P.M.	DATA GOVERNANCE SOLUTIONS	
1:15 - 4:00 P.M. Tutorials	HOW TO DEFINE, IDENTIFY AND ENGAGE STEWARDS IN FINANCIAL SERVICES <i>Robert S. Seiner,</i> <i>President/Publisher</i> KIK Consulting / TDAN.com	MANAGING CORE REFERENCE DATA IN FINANCE <i>Malcolm Chisholm, President</i> AskGet Inc.
4:15 - 4:45 P.M. Keynote	IMPLEMENTING LEGAL ENTITY IDENTIFIERS IN FINANCIAL INSTITUTIONS <i>Arka Mukherjee, Founder and CEO</i> Global IDs	
4:45 - 7:00 P.M.	RECEPTION AND EXHIBITS	

Tutorials
 Keynotes
 Special Session & Case Studies

Special Sessions

Governance Supporting Financial Research and Data Standards

Linda Powell, Office of Financial Research

In addition to needing high quality timely data for current analysis, the research process requires historical and vintage data to allow for time series, cross-sectional, and panel analysis. Industry-wide data standards augment firm level data governance by improving data quality, usability, and comparability. This presentation discusses the aspects of data governance that help to support financial economic analysis. It also discusses the OFR's initiatives to help support financial industry data standards including an update on the Legal Entity Identifier (LEI) and incorporating the LEI in the mortgage industry standards to improve transparency in loan products and complex financial instruments.

Mapping Data Governance Policies to Business Processes

Sunil Soares, Information Asset

Business Process Management (BPM) and Data Governance are beginning to converge. This session will show you why Data governance practitioners need to take advantage of this trend. Topics include using BPM to address data at the source, focused data stewardship efforts and selling the value of data governance to the business - The business may say that IT owns the data. But they will never say that IT owns the business process. So starting from the business process is a good way to engage with business users around data governance.

Very valuable conference!
 VP Data Standards

For additional information visit:
www.dgfinancialconference.com

Tuesday, September 24, 2013

9:00 - 9:30 A.M. Keynote	GUIDING PRINCIPLES FOR SUSTAINABLE DATA GOVERNANCE <i>Michael Atkin, Managing Director</i> EDM Council	
9:30 - 10:00 A.M. Keynote	BUILDING CONFIDENCE IN BIG DATA WITH INFORMATION INTEGRATION AND GOVERNANCE <i>David Corrigan, Director</i> IBM Software Group	
10:00 - 10:30 A.M.	NETWORKING AND EXHIBITS	
10:30 - 11:15 A.M.	MAPPING DATA GOVERNANCE POLICIES TO BUSINESS PROCESSES <i>Sunil Soares, Founder and Managing Partner</i> Information Asset SPECIAL SESSION	10 LESSONS LEARNED FROM IMPLEMENTING BASEL DATA GOVERNANCE <i>Greg Williamson,</i> <i>Senior Audit Manager</i> U.S. Bank CASE STUDY
11:30 A.M. - 12:30 P.M.	DATA GOVERNANCE SOLUTIONS	
1:30 - 2:15 P.M.	GOVERNANCE SUPPORTING FINANCIAL RESEARCH AND DATA STANDARDS <i>Linda Powell,</i> <i>Chief Business Officer</i> Office of Financial Research SPECIAL SESSION	A PRACTICAL BUSINESS LED APPROACH TO DATA AND PROCESS GOVERNANCE <i>Michael Nicosia, VP, F&A Strategy and Data & Process Governance</i> TIAA-CREF CASE STUDY
2:30 - 3:15 P.M.	DATA GOVERNANCE IMPLEMENTATION AT STANDARD AND POOR'S <i>Kevin Shannon, VP and Global Head of Data Stewardship</i> Standard and Poor's CASE STUDY	LESSONS LEARNED IN DATA GOVERNANCE EXECUTION IN FINANCIAL SERVICES <i>Xiu-hua McGovern,</i> <i>DQ Management and Governance Executive</i> Bank of America <i>Bill Wagner, Data Management Executive</i> Bank of America CASE STUDY
3:30 - 4:30 P.M. Keynote Panel	TIPS FROM THE TRENCHES EFFECTIVE METHODS TO ADDRESS DATA GOVERNANCE CHALLENGES IN THE FINANCIAL SECTOR MODERATOR: <i>Malcolm Chisholm, President, AskGet Inc.</i> PANELISTS: <i>Michele Koch, Director, Enterprise Data Management, Sallie Mae</i> <i>Linda Powell, Chief Business Officer, Office of Financial Research</i> <i>Ursula Cottone, Chief Data Officer, Key Bank</i> <i>Harold Finkel, Head of Business Data Management, TIAA-CREF</i>	

Technology Showcase and Data Governance Solutions Sessions.

This is your opportunity to meet and network with the leading data governance vendors.

Monday, September 23, 2013

11:45 A.M. - 12:15 P.M.
Data Governance Solutions

4:45 P.M. - 7:00 P.M.
Reception and Exhibits



Tuesday, September 24, 2013

10:00 A.M. - 1:30 P.M.
Exhibits

11:30 A.M. - 12:30 P.M.
Data Governance Solutions

Registration Options

Registration Options	Rates
Attend Entire Event Monday September 23 and Tuesday September 24	\$1795
Single Day Attend Monday September 23 -- OR -- Tuesday September 24	\$895
Non-Attendee Conference Proceedings on CD Includes conference tutorials and conference sessions. Sales tax will be added to California orders. Material will be shipped after the conference.	\$595

Registrations received after September 20, 2013 will incur an additional \$100 on-site registration fee.

Group Discounts

Register 3 and your **4th team member** attends **FREE!!**
For every three people from your organization that pay and register for the entire event you will receive a free registration for a team member! You must register the entire team together to take advantage of this offer. Please call **310.337.2616** or send an email to dataversity@conferenceregistration.com if you need assistance.

Additional Discounts For:

Members of DAMA, DGPO, EDM Council, IDMA and NYEMUG.

Contact dataversity@conferenceregistration.com for details

To Register:

Go to www.dgfinancialconference.com

Call **(310) 337-2616**

Conference Venue

The Graduate Center, CUNY
365 Fifth Avenue
New York, NY 10016 USA
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